# U.S. Funding Opportunity Landscape for International Cooperation
# A University Perspective

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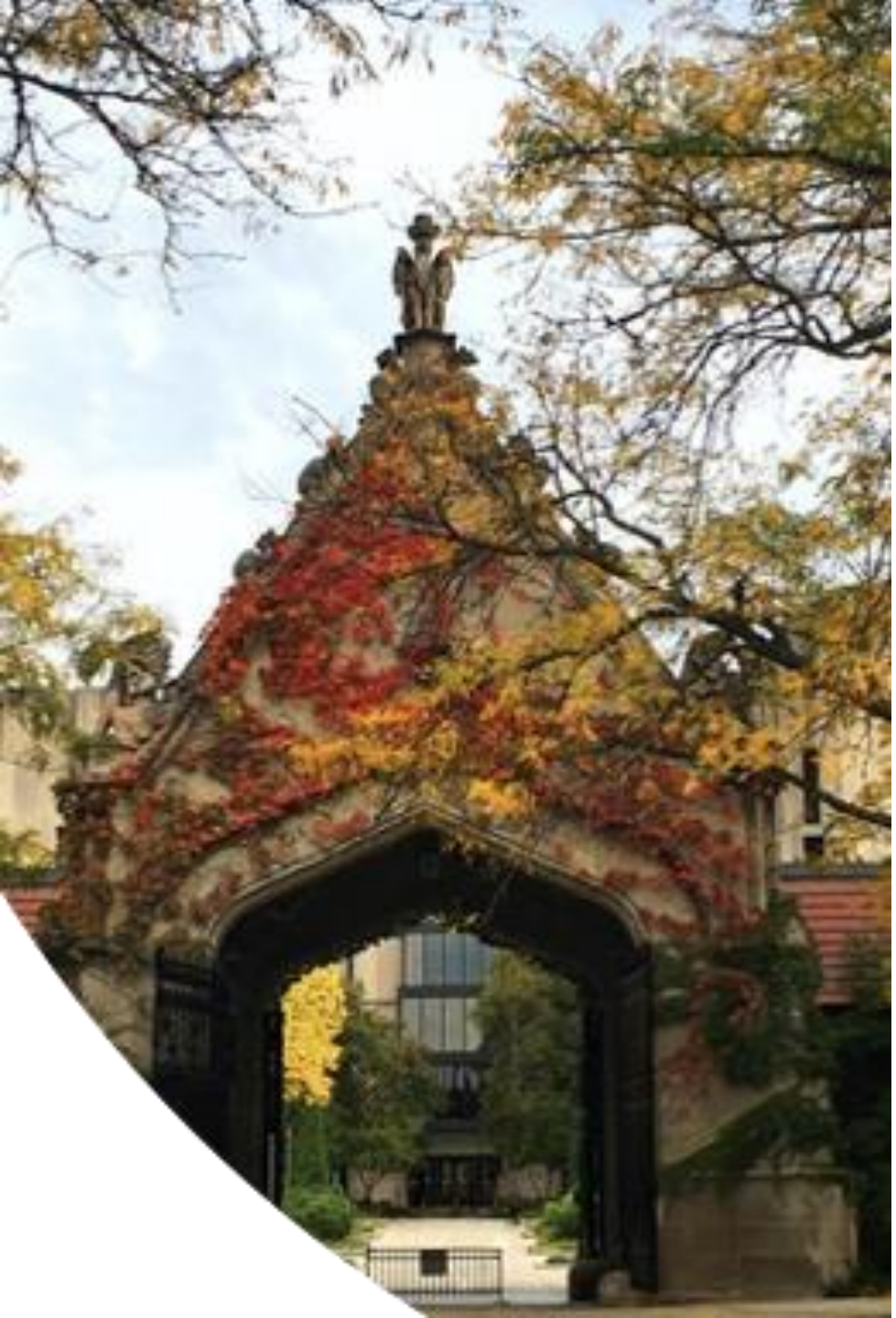
September 2022

# NCURA

- NCURA began in 1959 with 45 members and one Annual Meeting a year
- Today NCURA has approximately 7,000 individual members from over 2,200 colleges, universities, teaching hospitals, and non-profit research institutes in 40 countries
- Oldest and largest Research Administration organization globally



# University of Chicago

Established in 1890 by John D. Rockefeller

Faculty: 2,377 full-time faculty

52 majors and 41 minors in the undergraduate College and 5 divisions and 6 professional schools for graduate study

Undergraduate Students: 6,800

Graduate & professional students: 10,159

Honors: 92 Nobel Prize winners, including 6 current faculty

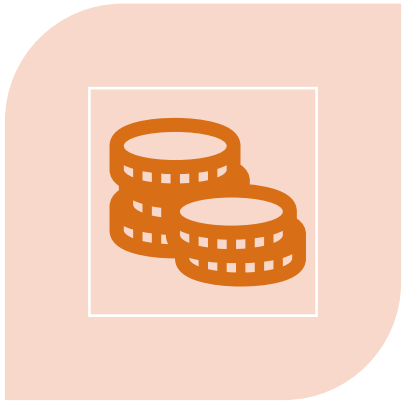
Manages Argonne National Laboratory and Fermi National Accelerator Laboratory for the Department of Energy as well as Marine Biological Laboratory

# University Research Administration

- **70** URA staff dedicated to lifecycle management
- FY22 total expenditure: **$631M**
- **2203** FY22 proposals submitted
- **1701** FY22 Contracts Activated
- Over **$13M** in total awards from Foreign Collaborators in FY22
- Awarded first Horizon Europe Grant in Q4 of FY21: **I3LUNG**

# URA Service Areas

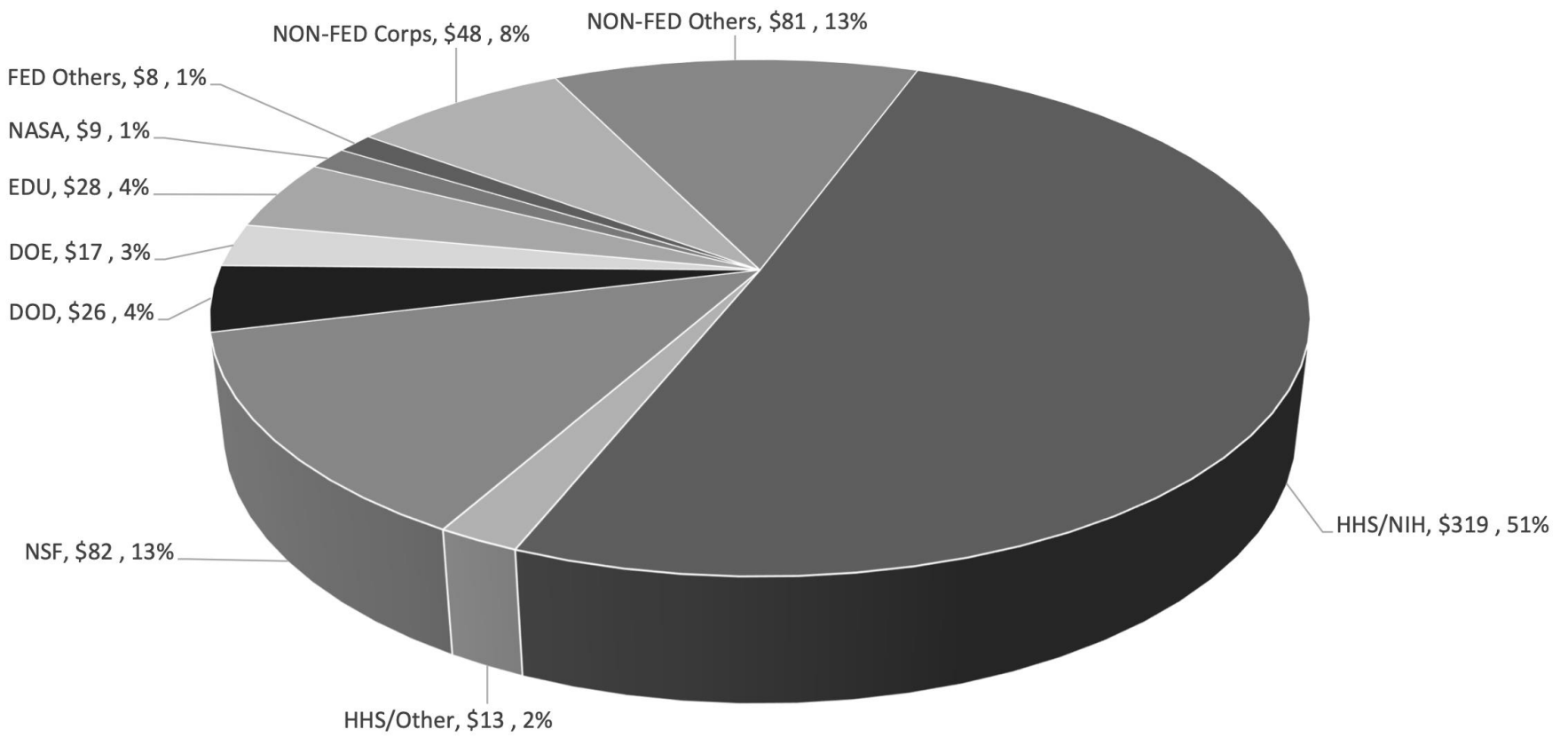
SPONSORED OPERATIONS



RESEARCH FINANCE

RESEARCH COMPLIANCE

# FY22 Expenditure by Funding Source



# UChicago Global

- Four University centers
  - Paris (2004)
  - Beijing (2010)
  - Delhi (2014)
  - Hong Kong (2018)
- Chicago Booth Executive MBA Program London Campus
- The Oriental Institute's field house in Luxor
- Research organization in Bangladesh.

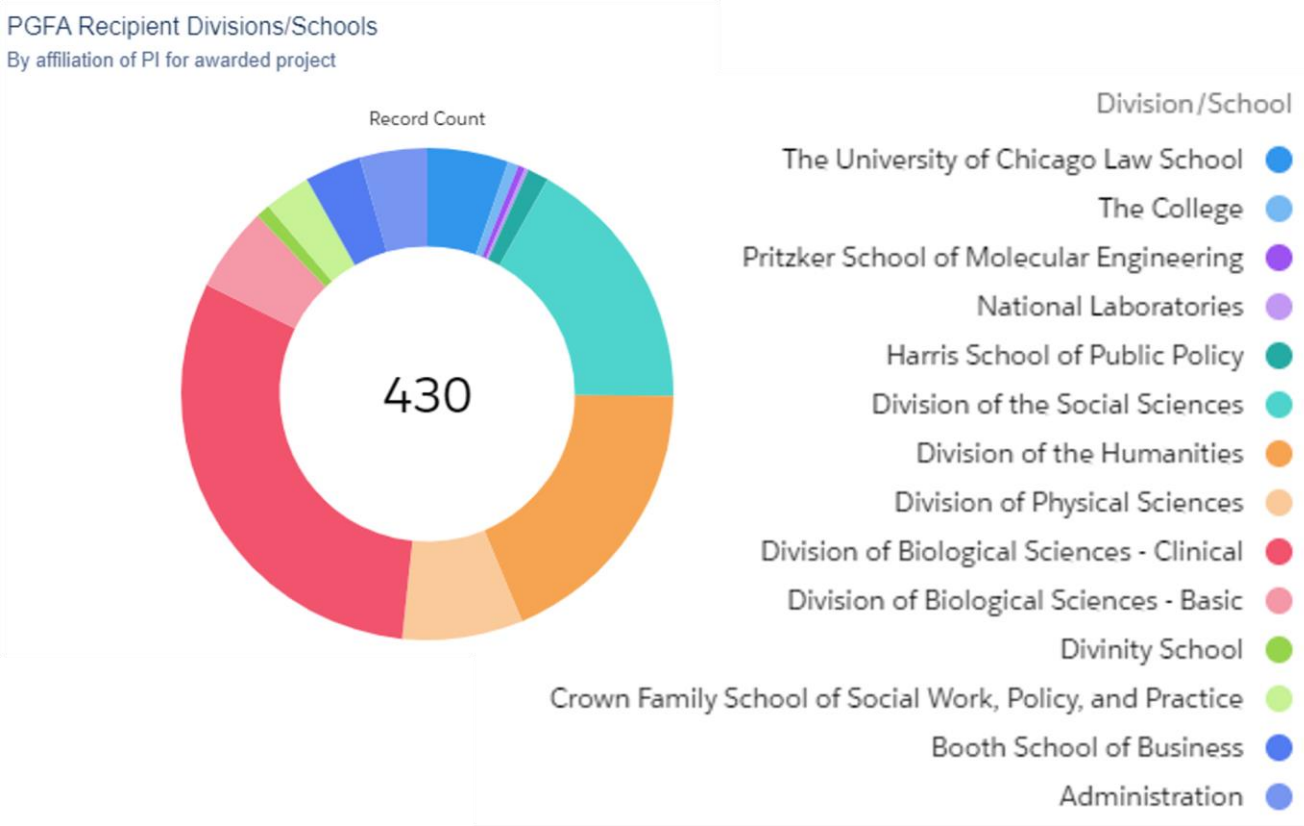
# Center in Paris

- Est. 2004 (expansion scheduled for completion 2023)
- Rue Thomas Mann (near the French National Library and the University of Paris VII)
- Hosts academic & public events, study abroad programs
- Supports UChicago & local researchers and students
- Faculty Director: Francois Richard, Associate Professor of Anthropology, Romance Languages and Literatures, and Social Sciences in the College

centerinparis.uchicago.com

# Funding international collaborations

- $7.6 million in funding awarded through the Provost's Global Faculty Awards to support collaborative projects East Asia, Southeast Asia, France and Latin America
- Over 400 funded projects involving more than 500 faculty representing all schools and divisions
- Other opportunities include:
  - FACCTS (France)
    $2.2 million in funding to 129 faculty
  - Yuen Research Funds
    (Hong Kong and GBA)
    $700,000 in funding to 16 faculty



# Challenges of International Research: Multi-directional

1. Regulatory
   - ✓ Conflicting regulatory requirements
   - ✓ Possible lack of local regulation
2. Different levels of expertise in research administration and infrastructure i.e. sub-award monitoring
3. Difficulty to meet U.S. funder requirements
4. Cultural differences
5. Budget consideration
6. Communication Challenges
7. Tax issues
8. Other…

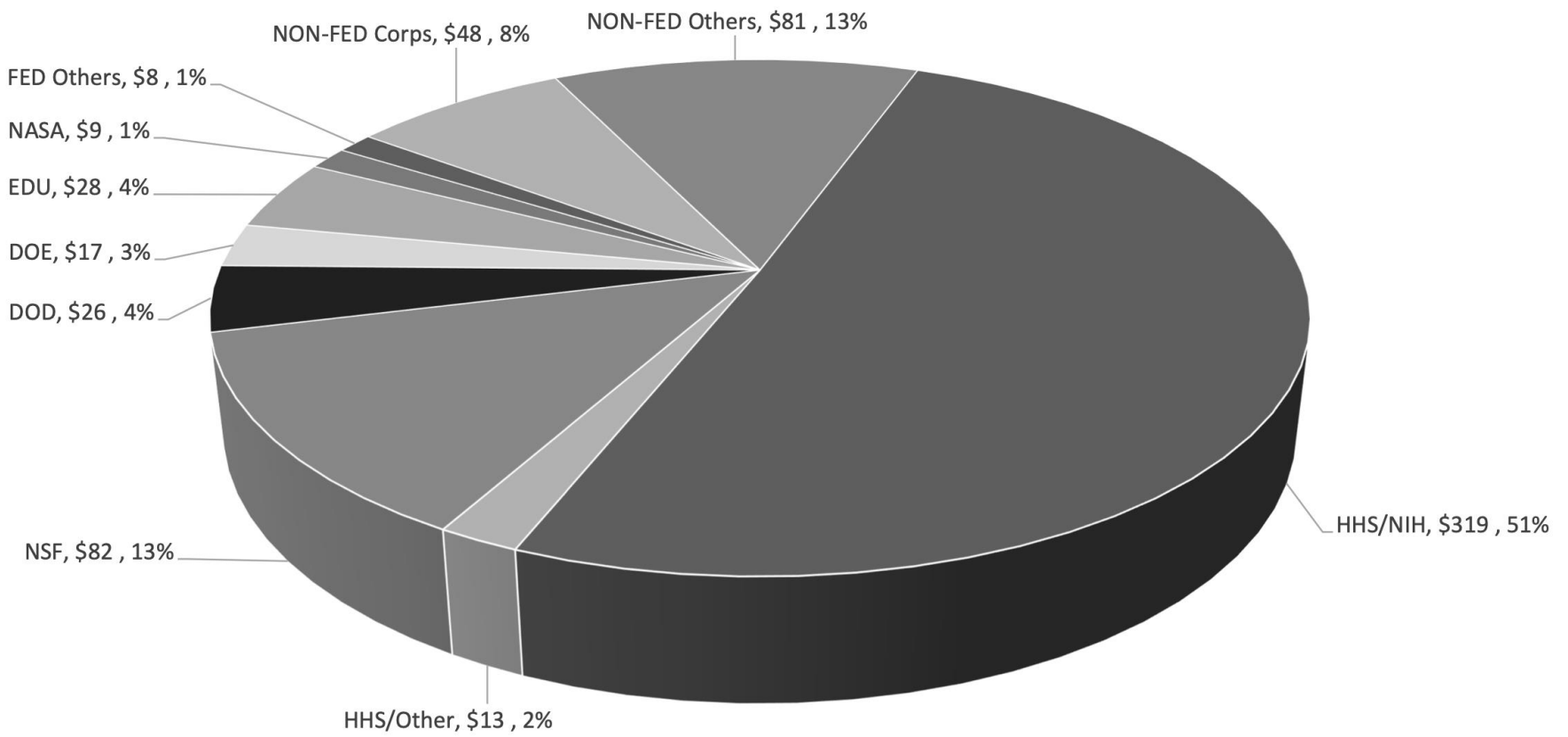
# Challenges of International Research: The Start-up Costs

1. New Sponsor Types create administrative burden and compliance obligations
2. Negotiations can be complex and costly
3. Missions and Policy do not always align
   - ✓Indemnification
   - ✓Publication
   - ✓Confidentiality
   - ✓Privacy/Data
   - ✓Intellectual Property
4. Convincing Leadership
5. Budget restrictions and IDC under recovery
6. Reporting and Invoicing concerns
   - ✓Currency Loss or Gain

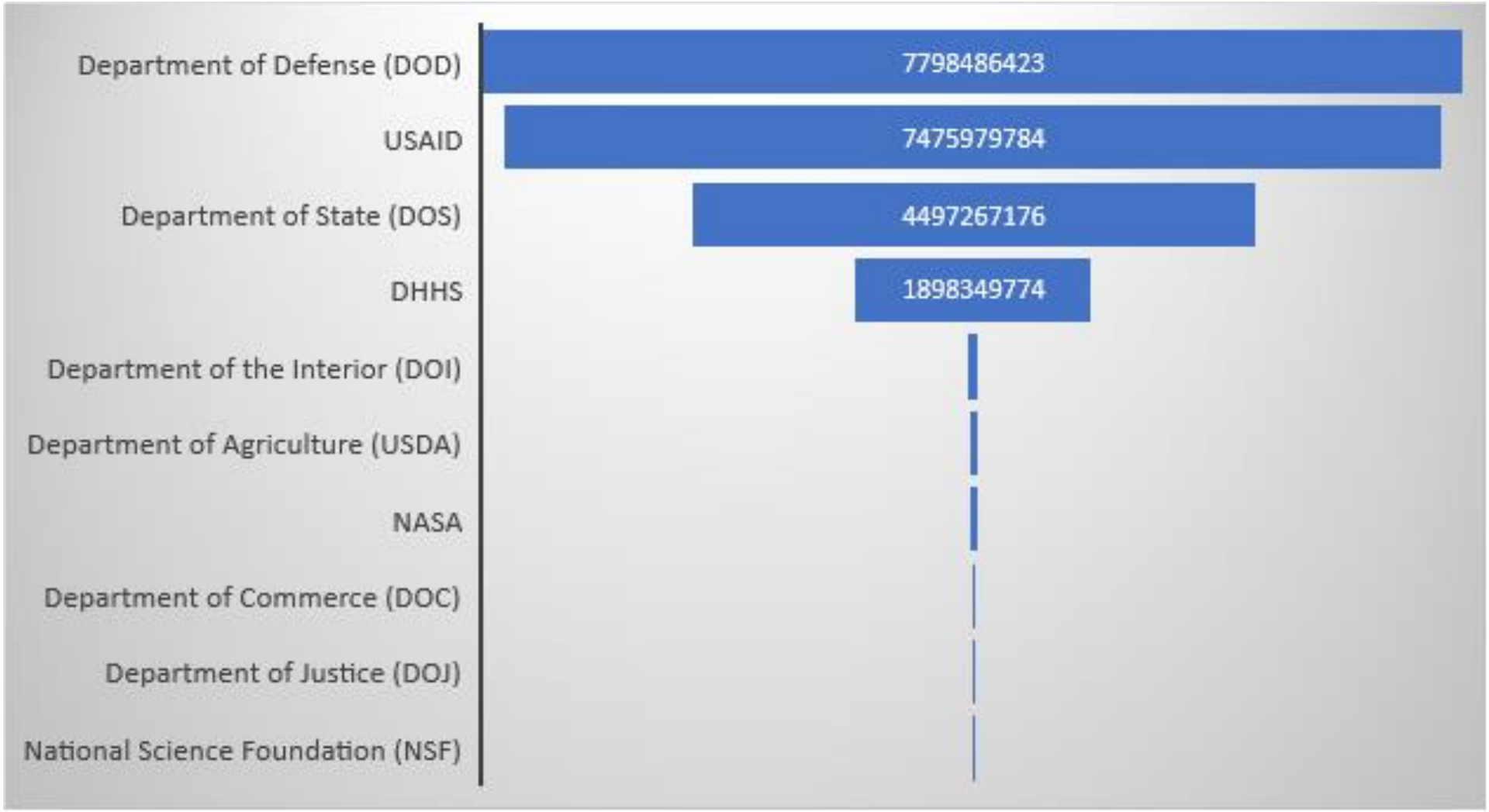
# Challenges in Managing U.S. Funds

- FCOI training, disclosure
- Endless variety of subaward forms
- Constant monitoring of new regulations and implement changes (e.g. salary caps)
- Effort reporting
- Technical and financial Audits (programmatic audits)
- Cost transfer / budget shifting
- Allowable or non-allowable costs (e.g. alcohol)
- F&A costs
- Export Controls
- Training of staff (central, pre- and post award, institutional, scientific)
- Monitoring of Subawardees
- Main Awardee vs Coordinator

# FY22 Expenditure by Funding Source



# FY2021 U.S. Spending by Awarding Agency to International Organizations (Top 10)

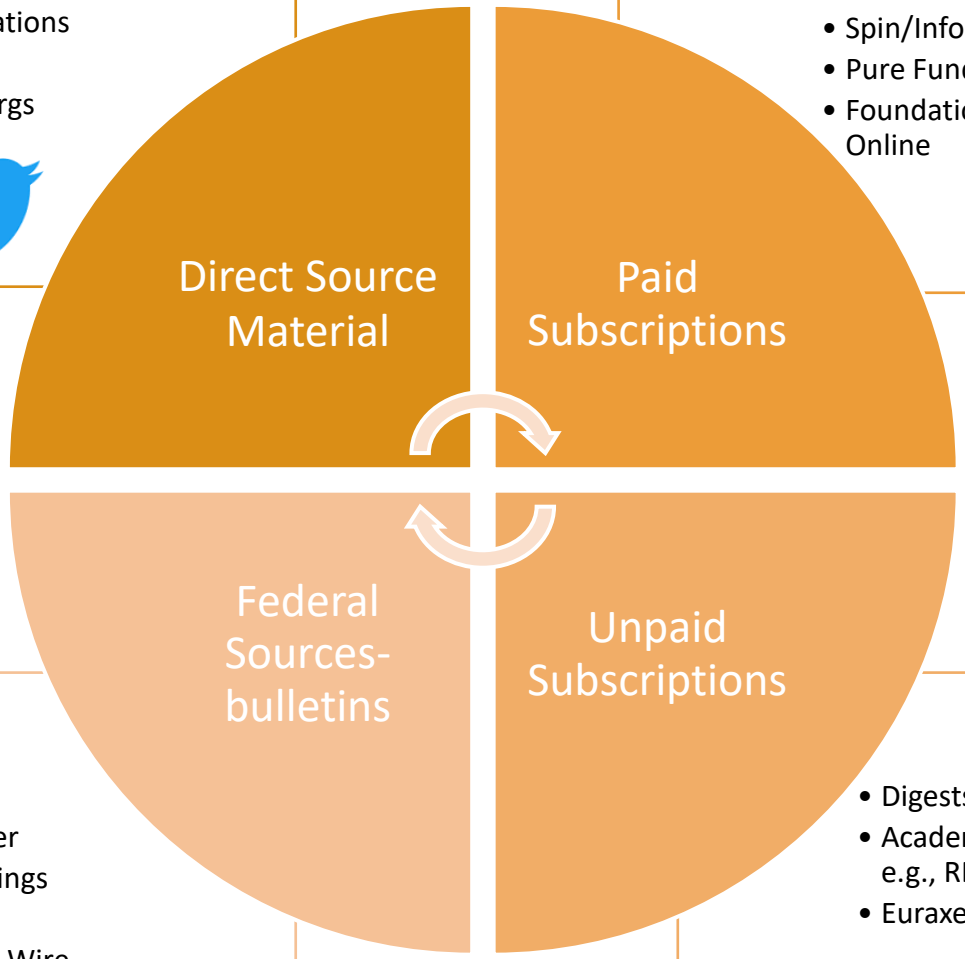


# Rewards of International Research

1. Maintains and Enhances UChicago's standing
   - ✓Supports the mission of the University
   - ✓Recruitment
   - ✓Research Portfolio Diversification
2. More likely to address global issues
   - ✓Benefits the Local Communities domestically and internationally
3. Increases scope and breadth of programs and collaborators
   - ✓Benefits the UChicago research community including students and post-docs
4. Non-U.S. funding diversifies sources of funding
   - ✓Benefits the UChicago research community and the institution during funding challenges

# Funding Opportunity Sources

## Where can I find them?




- NIH/NSF/DOD etc.
- Private Foundations
- Associations
- Professional Orgs
- SAM.gov

- PIVOT
- Spin/InfoEd Global
- Pure Funding Institutional
- Foundation Directory Online

- Grants.gov
- Federal Register
- Assistance Listings
- SAM.gov
- Federal Grants Wire

- Digests
- Academic Listservs, e.g., RESADM-L
- Euraxess

SPIN Funding Opportunities

The World's #1 Funding Opportunities Database

# New NCURA Resource Guide

- ***U.S. Funding Opportunities for International Research Collaboration***
  - Curated list of federal and non-federal sponsors that fund international research
  - Includes a summary of each organization and URLs to access more information
  - Provides a high-level view of the U.S. federal and non-federal funding landscape, including impact of COVID-19 pandemic
  - Describes funding mechanisms available to international researchers (e.g., subawards, consultant roles)
  - Available at https://www.ncura.edu/GlobalInitiatives/NewsandEvents.aspx

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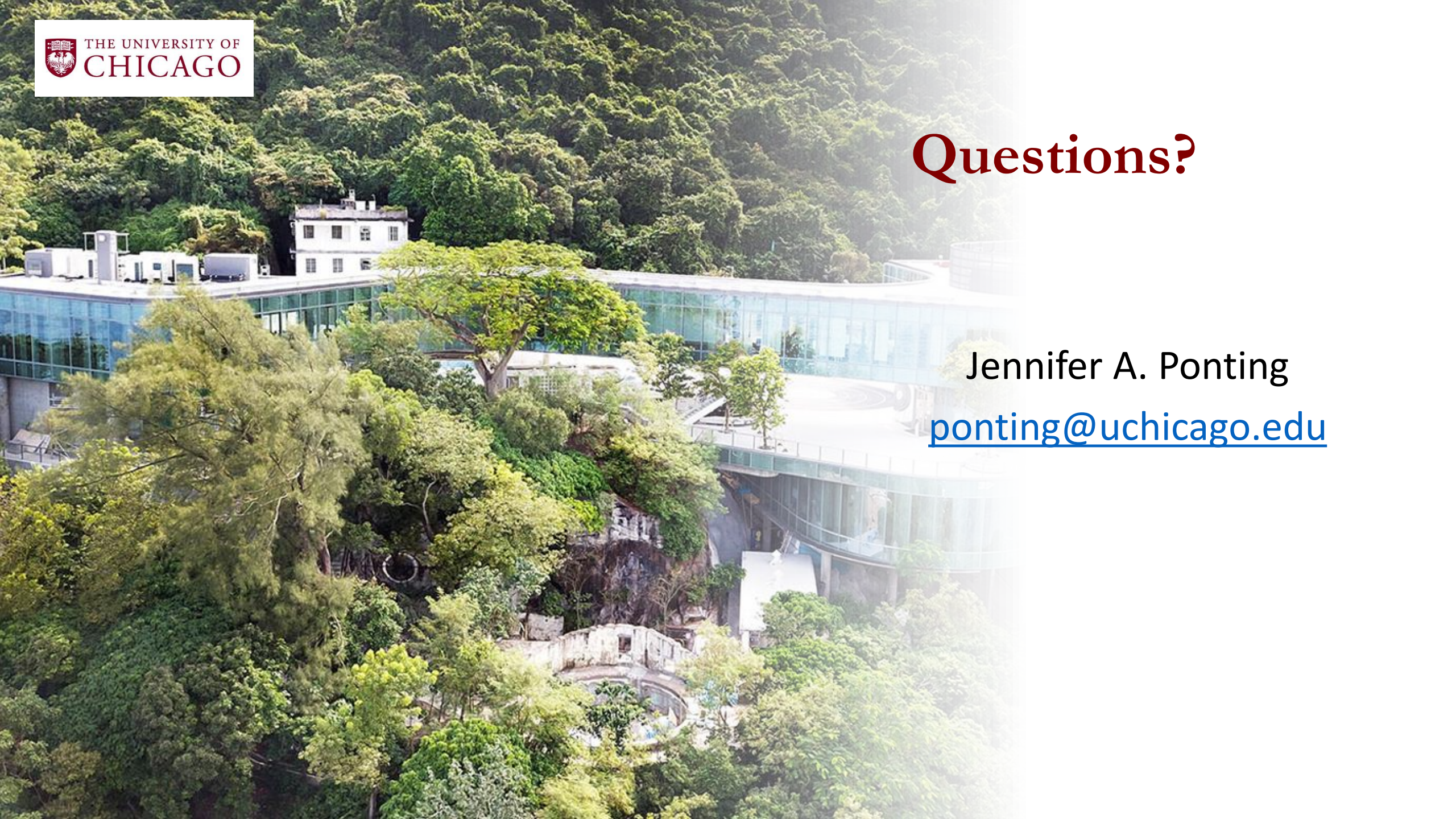

# Questions?

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